

Evolution and Replicas

Yerevan Workshop

Evolution of Complexity from the Statistical Physics Perspective

ACC Coolen

Radboud University, Nijmegen

June 2022



1 Introduction

- Biological evolution as a modelling challenge
- Heterogeneity in physics versus biology

2 Inspiration from statistical physics

- Ising spin systems with Hebbian bond evolution
- XY spins and added layers of complexity
- Link with the Parisi scheme

3 Application to biology

- Self-programming in neural systems
- Genesis of protein structure
- Finite- n replica approach to biological evolution

4 Open questions

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Biological evolution as a modelling challenge

many-particle physics
versus many-particle biology ...

in common

- many stochastically evolving variables
- many interactions, via nonlinear equations

key differences

- physics: usually detailed balance processes,
evolution towards equilibrium state, $p_{\infty}(\sigma) = Z^{-1} e^{-\beta H(\sigma)}$
- biology: almost never detailed balance,
we don't know the stationary state, $p_{\infty}(\sigma) = ?$
- the nature of heterogeneity ...



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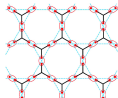
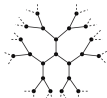
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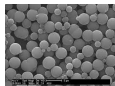
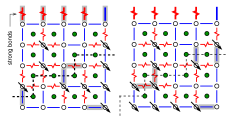
The nature of heterogeneity

- most stat phys methods are designed for *homogeneous* systems and *regular* topologies



- particles interchangeable
- either uniform ‘all-to-all’ interactions (mean-field), or
- use symmetries (transfer matrices, renormalization, ...)

- statistical physics of *heterogeneous* systems



- heterogeneity is *random* (random forces, particle sizes, topologies, ...)
- use disorder-averaged generating functions (replica analysis, generating functional analysis, ...)
- microscopic realization of disorder irrelevant

Analysis of heterogeneous systems in physics map to a (more tricky) *homogeneous* system

- **Replica method**

compute disorder-averaged free energy density

$$\begin{aligned}\bar{f} &= - \lim_{N \rightarrow \infty} \frac{1}{\beta N} \overline{\log Z} = - \lim_{N \rightarrow \infty} \frac{1}{\beta N} \lim_{n \rightarrow 0} \frac{1}{n} \log \left[\overline{\sum_{\boldsymbol{\sigma}} e^{-\beta H(\boldsymbol{\sigma})}} \right]^n \\ &= - \lim_{n \rightarrow 0} \frac{1}{\beta n} \lim_{N \rightarrow \infty} \frac{1}{N} \log \left[\sum_{\boldsymbol{\sigma}^1 \dots \boldsymbol{\sigma}^n} \overline{e^{-\beta \sum_{\alpha=1}^n H(\boldsymbol{\sigma}^\alpha)}} \right] = \dots\end{aligned}$$

final result:

single particle eqn in equilibrium, n -replicated with $n \rightarrow 0$...

- **Generating functional analysis**

compute disorder-average generating functional

$$\overline{Z[\psi]} = \overline{e^{i \sum_{it} \psi_i(t) \sigma_i(t)}} = \sum_{\boldsymbol{\sigma}(0), \dots, \boldsymbol{\sigma}(t_{\max})} e^{i \sum_{it} \psi_i(t) \sigma_i(t)} \overline{P(\boldsymbol{\sigma}(0), \dots, \boldsymbol{\sigma}(t_{\max}))} = \dots$$

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single particle eqn, retarded self-interaction + non-white noise ...

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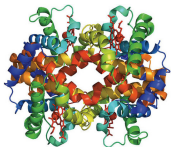
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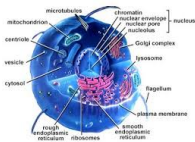
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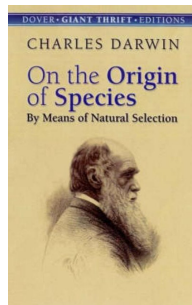
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organism level

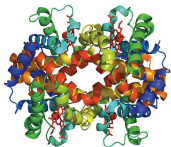


- heterogeneous parameters are *selected*, based on complex criteria ...
- microscopic realization of heterogeneity can be highly relevant
- we cannot average generating functions over the heterogeneity, because we cannot capture it in a probability distribution ...

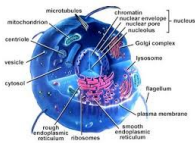
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(replicas, generating functionals, cavity method, ...)

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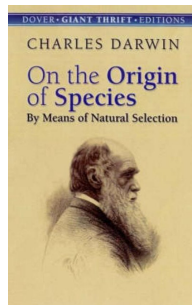
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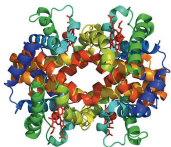


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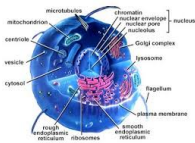
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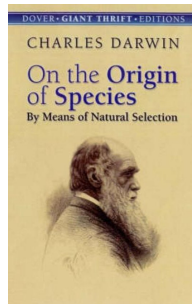
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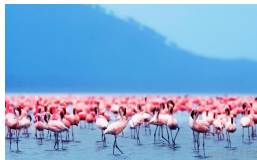
How to capture heterogeneity in quantitative biology

model how it is generated

*slow dynamics:
evolving codes
(genotypes \mathbf{y})*



*fast dynamics:
interacting organisms
(phenotypes \mathbf{x})*



- coupled stochastic dynamics on *adiabatically separated timescales*
 - phenotype dynamics: genotype + population interactions + environment
 - genotype dynamics: phenotype fitness + hardware constraints

population :

$$P_t(\mathbf{x}, \mathbf{y}) = \frac{1}{N_t} \sum_{i=1}^{N_t} \delta[\mathbf{x} - \mathbf{x}_i(t)] \delta[\mathbf{y} - \mathbf{y}_i(t)]$$

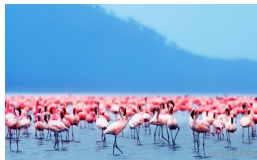
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Ising spin systems with slowly evolving interactions

(inspired by learning in neural networks)

- standard spin dynamics, evolving to equilibrium state

$$p(\boldsymbol{\sigma}|\mathbf{J}) = \frac{1}{Z(\mathbf{J})} e^{-\beta H(\boldsymbol{\sigma}, \mathbf{J})}, \quad H(\boldsymbol{\sigma}, \mathbf{J}) = - \sum_{i < j} \sigma_i J_{ij} \sigma_j - \sum_i \sigma_i \theta_i$$

$$Z(\mathbf{J}) = \sum_{\boldsymbol{\sigma}} e^{-\beta H(\boldsymbol{\sigma}, \mathbf{J})}, \quad F(\mathbf{J}) = -\frac{1}{\beta} \log Z(\mathbf{J})$$

- slow stochastic bond dynamics, Langevin eqn

$$\tau \frac{d}{dt} J_{ij} = \frac{1}{N} \langle \sigma_i \sigma_j \rangle_{\text{spins}} - \mu J_{ij} + \frac{1}{\sqrt{N}} \eta_{ij}(t)$$

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replica formula of disorder-averaged free energy
of SK model (modulo a constant), but with $n = T/\tilde{T} \dots$
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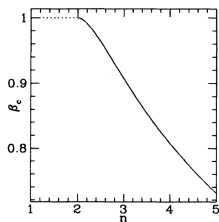
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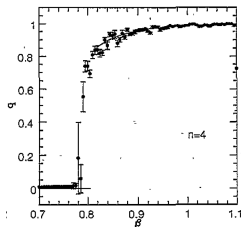
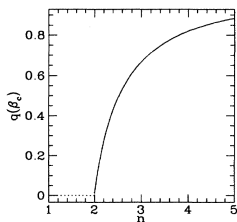
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$n = T/\tilde{T}$ small: bond and spin dynamics weakly coupled
RSB, second order transitions

$n = T/\tilde{T}$ large: bond and spin dynamics strongly coupled
no RSB, first order transitions



$P \rightarrow SG$ transition



first order for $n > 2$

ACCC et al, *Phys Rev B*48, 1993
RW Penney et al, *J Phys A*26, 1993

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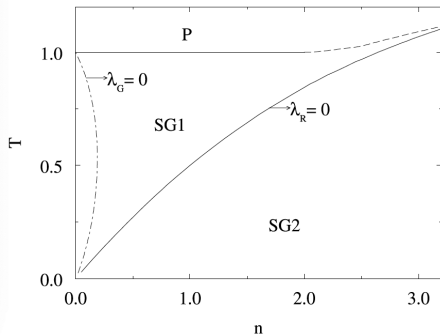
$$\tilde{Z} = \int d\mathbf{J} e^{-\tilde{\beta} \mathcal{H}(\mathbf{J})} = \int d\mathbf{J} e^{-\tilde{\beta} \left[-\frac{1}{\beta} \log Z(\mathbf{J}) + \frac{1}{2} \mu N \sum_{i<j} J_{ij}^2 - \mu N \sum_{i<j} B_{ij} J_{ij} \right]}$$

$$\tilde{F} = -\frac{1}{n\tilde{\beta}} \log \int d\mathbf{J} Z^n(\mathbf{J}) e^{\mu \tilde{\beta} N \sum_{i<j} B_{ij} J_{ij} - \frac{1}{2} \tilde{\beta} \mu N \sum_{i<j} J_{ij}^2}$$

replica formula of disorder-averaged free energy
of XY-spin model (modulo a constant), with $n = T/\tilde{T} \dots$
(compute for integer n , analytical continuation to real n)

analytically solvable!

$$n = T/\tilde{T}$$



two SG phases

SG1: freezing on spin timescales only, $q_1 > 0$, $q_0 = 0$

SG2: freezing on all timescales, $q_1 > 0$, $q_0 > 0$

$$q_0 = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_i \langle \langle \mathbf{S}_i \rangle^2 \rangle_B, \quad q_1 = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_i \langle \langle \mathbf{S}_i \rangle^2 \rangle_B$$

G Jongen et al, J Phys A31, 1998

G Jongen et al, J Phys A34, 2001

1 Introduction

- Biological evolution as a modelling challenge
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2 Inspiration from statistical physics

- Ising spin systems with Hebbian bond evolution
- XY spins and added layers of complexity
- **Link with the Parisi scheme**

3 Application to biology

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Link with the Parisi scheme

SK model:

$$H = - \sum_{i < j} J_{ij} \sigma_i \sigma_j, \quad \text{random bonds: } \overline{J_{ij}} = \frac{J_0}{N}, \quad \overline{J_{ij}^2} - \overline{J_{ij}}^2 = \frac{J^2}{N}$$

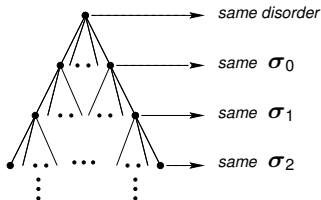
intuition: 'slow' spins act as slowly evolving interactions between 'fast' spins ...

- assume spins evolving on disparate timescales

$$\{1, \dots, N\} = \bigcup_{\ell=0}^L I_\ell$$

$$\sigma = (\sigma_0, \dots, \sigma_L)$$

$$\sigma_\ell = \{\sigma_j | j \in I_\ell\}, \quad \tau_\ell \ll \tau_{\ell-1}$$



- at each level ℓ :
 - minimize free energy (Boltzmann's H-theorem)
 - Boltzmann form at each level ℓ
 - Hamiltonian at level ℓ : free energy of level $\ell+1$
 - constrained entropy at each level ℓ : distinct temperatures T_ℓ

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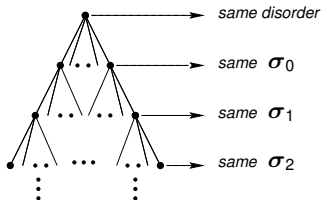
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- resulting theory

level L , fastest spins: $Z_L = \text{Tr}_{\sigma_L} e^{-\beta H(\sigma)}$,

higher levels $\ell < L$: $Z_\ell = \text{Tr}_{\sigma_\ell} [Z_{\ell+1}]^{\tilde{m}_{\ell+1}}$, $\tilde{m}_\ell = \frac{\beta_{\ell-1}}{\beta_\ell}$, $\beta_L = \beta$

\tilde{m}_ℓ : follow from values of constrained entropies S_ℓ

- disorder-averaged free energy, at largest timescale

$$\overline{F}_0 = -\frac{1}{\beta_0} \overline{\log Z_0} = -\lim_{\tilde{n} \rightarrow 0} \frac{1}{\tilde{n}\beta_0} \log \overline{Z_0^{\tilde{n}}}$$

- assume ergodicity at each level ℓ , extremize $f = \lim_{N \rightarrow \infty} (\overline{F}_0/N)$ over $\epsilon_\ell = |I_\ell|/N$:

$\epsilon_L \rightarrow 1$, $\epsilon_{\ell < L} \rightarrow 0$: *slow spins are vanishing fraction, entropy densities $S_\ell/N \rightarrow 0$*

for $L \rightarrow \infty$: *Parisi's full RSB scheme*, with $m_\ell = \prod_{k=\ell}^L \tilde{m}_k$,

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Self-programming in neural systems

bonds=programme evolving at multiple timescales

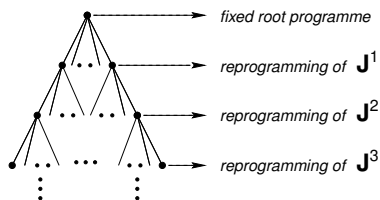
- binary neurons $\sigma \in \{-1, 1\}^N$,
evolving to equilibrium state

$$p(\sigma|\mathbf{J}) = \frac{1}{Z(\mathbf{J})} e^{-\beta H(\sigma, \mathbf{J})}, \quad H(\sigma, \mathbf{J}) = - \sum_{i<j} \sigma_i J_{ij} \sigma_j + \frac{1}{2} N \sum_{i<j} \mu_{ij} J_{ij}^2$$

- slow stochastic bond dynamics
 $\ell = 1 \dots L$ reprogramming levels

$$I_\ell = \{(i, j) \mid \tau_{ij} = \tau_\ell, T_{ij} = T_\ell, \mu_{ij} = \mu_\ell\}$$

$$\mathbf{J}^\ell = \{J_{ij} \mid (i, j) \in I_\ell\}$$



$$(i, j) \in I_\ell : \quad \tau_\ell \frac{d}{dt} J_{ij} = \frac{1}{N} \langle \sigma_i \sigma_j \rangle - \mu_\ell J_{ij} + \frac{\sqrt{\tau_\ell}}{\sqrt{N}} \eta_{ij}(t)$$

$$\langle \eta_{ij}(t) \rangle = 0, \quad \langle \eta_{ij}(t) \eta_{kl}(t') \rangle = 2 T_\ell \delta_{(i,j),(k,\ell)} \delta(t-t')$$

stationary state of coupled system?

- at level ℓ :

$$\tau_\ell \frac{d}{dt} J_{ij} = -\frac{1}{N} \frac{\partial}{\partial J_{ij}} H_\ell(\mathbf{J}^\ell, \dots, \mathbf{J}^L) + \sqrt{\frac{\tau_\ell}{N}}$$

$$H_1(\dots) = -\frac{1}{\beta} \log \sum_{\boldsymbol{\sigma}} e^{-\beta H(\boldsymbol{\sigma}, \mathbf{J})}, \quad H_{\ell+1}(\dots) = -\frac{1}{\beta_\ell} \log Z_\ell[\dots]$$

$$Z_\ell[\mathbf{J}^{\ell+1}, \dots, \mathbf{J}^L] = \int d\mathbf{J}^\ell e^{-\beta_\ell H_\ell(\mathbf{J}^\ell, \dots, \mathbf{J}^L)}$$

- disorder:
level membership of bonds

$$\epsilon_{ij}(\ell) \in \{0, 1\} : \quad \text{i.i.d.r.v, } \text{Prob}[\epsilon_{ij}(\ell) = 1] = \epsilon_\ell, \quad \sum_{\ell=1}^L \epsilon_\ell = 1$$

- physics at largest timescales

$$\mathcal{F} = -\frac{1}{\beta_L} \overline{\log Z_L} = -\lim_{m_{L+1} \rightarrow 0} \frac{1}{m_{L+1} \beta_L} \log \overline{Z_L^{m_{L+1}}}$$

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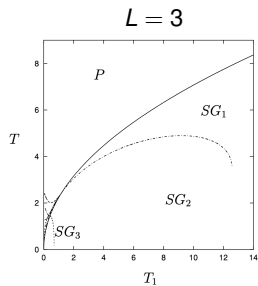
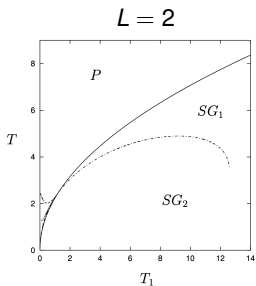
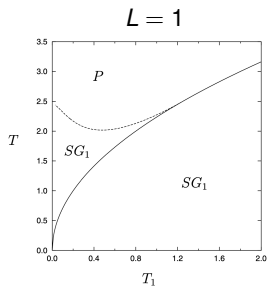
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(compute for integer m_{L+1} , analytical continuation to real m_{L+1})



- increasingly complex phase diagrams
- multiple SG states, indicating freezing on distinct timescales
- first order transitions
- re-entrance phenomena

T Uezu and ACCC, J Phys A35, 2002

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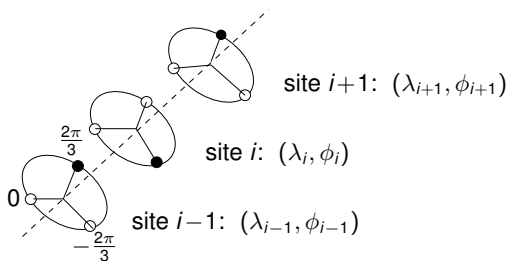
5 Summary

Biological evolution: genesis of protein structure

simple model of heteropolymer
with slowly evolving composition

genotype: $(\lambda_1, \dots, \lambda_N)$
(primary structure)

phenotype: (ϕ_1, \dots, ϕ_N)
(secondary structure)



$$H(\phi|\lambda) = -\frac{J_p}{N} \sum_{ij} \overbrace{\xi(\lambda_i)\xi(\lambda_j) \delta_{\phi_i, \phi_j}}^{\text{polarity forces}} - J_s \sum_i \underbrace{\cos[(\phi_{i+1} - \phi_i) - (\phi_i - \phi_{i-1}) - a(\lambda_i)]}_{\text{steric forces}}$$

$\lambda_i \in \{1, \dots, 20\}$: amino-acid at site i

$\xi(\lambda) \in \mathbb{R}$: polarity of amino-acid λ

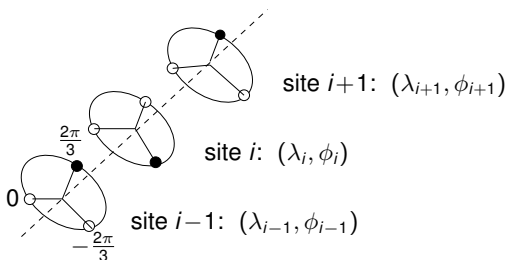
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slow dynamics of genotype (primary structure λ):

• if λ real:
$$\frac{d}{dt}\lambda_i = \left\langle -\frac{\partial}{\partial \lambda_i} [H(\phi|\lambda) + U(\lambda)] \right\rangle_{\phi} + \eta_i(t)$$

$$\langle \eta_i(t) \rangle = 0, \quad \langle \eta_i(t)\eta_j(t') \rangle = 2\tilde{T}\delta_{ij}\delta(t-t')$$

$U(\lambda)$: utility potential

rationale:

minimize $H(\phi|\lambda)$ → protein folds

minimize $U(\lambda)$ → protein is useful

• use
$$\frac{d}{dt}\lambda_i = -\frac{\partial}{\partial \lambda_i} H_{\text{eff}}(\lambda) + \eta_i(t)$$

$$H_{\text{eff}}(\lambda) = U(\lambda) - \beta^{-1} \log Z_f(\lambda), \quad Z_f(\lambda) = \sum_{\phi} e^{-\beta H(\phi|\lambda)}$$

• physics at genetic timescale

$$\begin{aligned} f_N &= -\frac{1}{\tilde{\beta}N} \log \sum_{\lambda} e^{-\tilde{\beta}H_{\text{eff}}(\lambda)} = -\frac{1}{n\beta N} \log \sum_{\lambda} z_f^n(\lambda) e^{-n\beta U(\lambda)} \\ &= -\frac{1}{n\beta N} \log \sum_{\phi^1 \dots \phi^n} \sum_{\lambda} e^{-\beta \sum_{\alpha=1}^n H(\phi^\alpha|\lambda) - n\beta U(\lambda)} \end{aligned}$$

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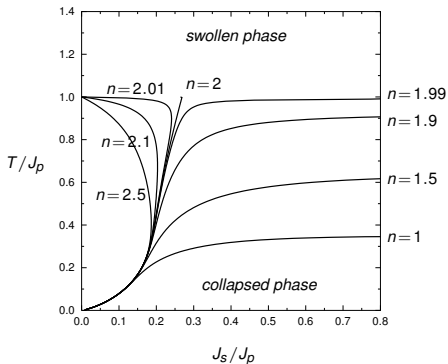
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calculations involve diagonalization of replicated transfer matrices
and techniques from random field spin chains



Continuous bifurcations from
swollen to collapsed states

$n > 2$: 1st order transition

H Chakravorty et al, J Phys A35, 2002
S Rabello et al, J Phys A41, 2008

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Finite- n replica approach to biological evolution

generalization of the previous problem ...

codes (genotypes) : $\mathbf{y}_1, \dots, \mathbf{y}_N$

organisms (phenotypes) : $\mathbf{x}_1, \dots, \mathbf{x}_N$

$$\text{population : } P_t(\mathbf{x}, \mathbf{y}) = \frac{1}{N_t} \sum_{i=1}^{N_t} \delta[\mathbf{x} - \mathbf{x}_i(t)] \delta[\mathbf{y} - \mathbf{y}_i(t)]$$

- fast phenotype dynamics:

$$\tau_x \frac{d}{dt} \mathbf{x} = -\nabla_{\mathbf{x}} \left[\overbrace{H(\mathbf{x}, \mathbf{y})}^{\text{genomic instructions}} + \overbrace{H(\mathbf{x}|P)}^{\text{environment}} \right] + \overbrace{\eta(t)}^{\text{randomness}}$$

- slow genotype dynamics:

- stochastic, time scales $\tau_y \gg \tau_x$
- favour codes \mathbf{y} that give 'fit' phenotypes
- 'fit' \mathbf{x} : low values of $H(\mathbf{x}, \mathbf{y}) + H(\mathbf{x}|P)$

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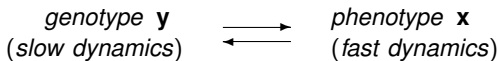
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Resulting model



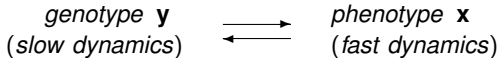
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solution?

On evolutionary time scales:
(adiabatic separation: $\tau_x \ll \tau_y$)

$$\begin{aligned} \tau_y \frac{d}{dt} \mathbf{y} &= - \left\langle \nabla_{\mathbf{y}} H(\mathbf{x}, \mathbf{y}) \right\rangle_{\mathbf{x}|\mathbf{y}} - \nabla_{\mathbf{y}} H_y(\mathbf{y}) + \eta_y(t) \\ P(\mathbf{x}|\mathbf{y}) &= \frac{1}{Z_x(\mathbf{y})} e^{-\beta_x [H(\mathbf{x}, \mathbf{y}) + H_x(\mathbf{x})]}, \quad Z_x(\mathbf{y}) = \int d\mathbf{x} e^{-\beta_x [H(\mathbf{x}, \mathbf{y}) + H_x(\mathbf{x})]} \end{aligned}$$

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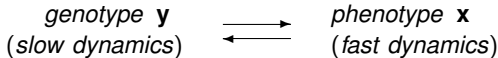
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$$\tau_y \frac{d}{dt} \mathbf{y} = -\nabla_y [F_x(\mathbf{y}) + H_y(\mathbf{y})] + \eta_y(t)$$

$$P_\infty(\mathbf{y}) = \frac{1}{Z_y} e^{-\beta_y [F_x(\mathbf{y}) + H_y(\mathbf{y})]}$$

Equilibrium:

genotypic free energy

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Replica method

$$n = \beta_y / \beta_x, \quad \beta_x = \beta:$$

$$F_y = -\frac{1}{n\beta} \log \int d\mathbf{y} e^{-\beta n H_y(\mathbf{y})} [Z_x(\mathbf{y})]^n, \quad Z_x(\mathbf{y}) = \int d\mathbf{x} e^{-\beta [H(\mathbf{x}, \mathbf{y}) + H_x(\mathbf{x})]}$$

- (i) evaluate Z^n for integer n
- (ii) compute F_y
- (iii) analytical continuation to non-integer n

$$F_y = -\frac{1}{n\beta} \log \int d\mathbf{x}^1 \dots d\mathbf{x}^n e^{-\beta \sum_{\alpha=1}^n H_x(\mathbf{x}^\alpha) - \beta H_{\text{eff}}(\mathbf{x}^1, \dots, \mathbf{x}^n)}$$

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- $n \rightarrow 0$: random genotypes
- $n \rightarrow 1$: annealed averages (as if timescales same)
- $n > 2$: first order transitions ...

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1 Introduction

- Biological evolution as a modelling challenge
- Heterogeneity in physics versus biology

2 Inspiration from statistical physics

- Ising spin systems with Hebbian bond evolution
- XY spins and added layers of complexity
- Link with the Parisi scheme

3 Application to biology

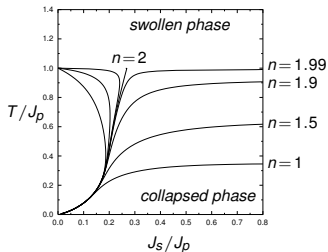
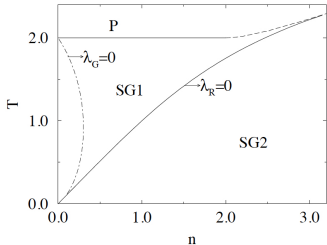
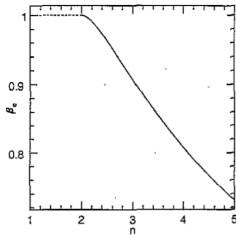
- Self-programming in neural systems
- Genesis of protein structure
- Finite- n replica approach to biological evolution

4 Open questions

5 Summary

Open questions

- discrete slow variables:
nontrivial to define stochastic dynamics such that
slow Hamiltonian is free energy of the fast degrees of freedom ...
- $n = 2$: boundary between 1st and 2nd order transitions
in coupled dynamics models with adiabatically separated time scales
what is special about $T_x/T_y = 2$?



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Summary



- Evolution: coupled dynamics of fast and slow degrees of freedom (phenotype and genotype)
- Assume both minimise stochastically the *same* energy function ('fitness' + constraints + utility)
- In the regime of adiabatically separated timescales: finite n replica theories in equilibrium, with $n = T_{\text{fast}}/T_{\text{slow}}$
- *Models analytically solvable, expressions for heterogeneity distribution*
- For $n > 2$ (strong coupling between slow and fast variables): first order phase transitions